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PATENT

US PATENT & TRADEMARK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

**David B. Weiner and Joo-Sung Yang**

**Serial No.: 09/971,980**

**Group Art Unit: Not yet assigned**

**Filing Date: October 4, 2001**

**Examiner: Not yet assigned**

**For: COMPOSITIONS AND METHODS OF USING CAPSID PROTEIN FROM  
FLAVIVIRUSES AND PESTIVIRUSES**

DATE OF DEPOSIT: Nov. 27, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231.

  
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TYPED NAME: Robin S. Quartin  
REGISTRATION NO.: 45,028

Assistant Commissioner for Patents

Washington DC 20231

**ATTENTION:** Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND  
(37 CFR 1.28(a))**

**I. SMALL ENTITY STATUS**

- Applicant(s) has previously claimed small entity status under 37 CFR §1.27
- Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
  - an Independent Inventor

- a Small Business Concern (as it pertains to Viral Genomix, Inc.)
- a Nonprofit Organization (as it pertains to the University of Pennsylvania)

**II. REFUND REQUEST**

This request for refund is made within three months of the date a fee was paid in this application on October 4, 2001, in the amount of \$1,844.00.

**III. FEES PAID FOR WHICH REFUND REQUESTED**

FEE PAID:	REFUND REQUESTED:
Filing Fee	\$922.00
Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l))	\$0
Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$0
Extension of Term	\$0
Issue Fee	\$0
Patent Maintenance	\$0
<input type="checkbox"/> First Maintenance Fee	
<input type="checkbox"/> Second Maintenance Fee	
<input type="checkbox"/> Third Maintenance Fee	
Patent Maintenance Fee Surcharge	\$0
Other:	\$0
<b>TOTAL REFUND REQUESTED:</b>	
<b>\$922.00</b>	

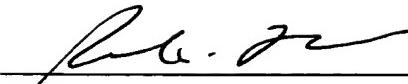
**IV. MANNER OF REFUND**

Please make refund by

- crediting Deposit Account No. 23-3050.

refunding overpayment.

Date: November 27, 2001

  
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Robin S. Quartin  
Registration No. 45,028

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